

THE MILNOR–CHOW HOMOMORPHISM REVISITED

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ABSTRACT. The aim of this note is to give a simplified proof of the surjectivity of the natural Milnor–Chow homomorphism $\rho : K_n^M(A) \rightarrow CH^n(A, n)$ between Milnor K –theory and higher Chow groups for essentially smooth (semi–)local k –algebras A with k infinite. It implies the exactness of the Gersten resolution for Milnor K –theory at the generic point. Our method uses the Bloch–Levine moving technique and some properties of the Milnor K –theory norm for fields.

INTRODUCTION

In [4, 3] the surjectivity of the natural Milnor–Chow homomorphism

$$\rho : K_n^M(A) \rightarrow CH^n(A, n)$$

between Milnor K –theory and higher Chow groups for any essentially smooth (semi–)local k –algebra A with k infinite was shown. This morphism associates to a symbol $\{f_1, \dots, f_n\}$ the graph cycle of the map $f = (f_1, \dots, f_n)$.

In this note we want to give a very simple argument which uses two basic ingredients. The first is a new argument derived from fairly elementary properties of the norm–map for the Milnor K –theory of rings which were sketched in [7] and build up on the theory of Bass and Tate [1] (see section 2). The idea in [7] was to use a Milnor K –group which is not induced directly from a ring (or algebra) but only from certain generic elements of a ring. The same technique can also be used to show the Gersten conjecture for Milnor K –theory of regular (semi–)local rings [7]. The second input is a standard application of the easy moving lemma of Bloch–Levine [2, 8] which implies that we can restrict to the case of cycles with smooth components. This was also used in the proof in [3].

Our main theorem is:

Theorem 0.1. *Let A be an essentially smooth (semi–)local k –algebra with infinite residue fields. Then the homomorphism $\rho : K_n^M(A) \rightarrow CH^n(A, n)$ is surjective for $n \geq 1$.*

Here for a field k we say that a k –algebra A is essentially smooth if A is the localization of a smooth affine k –algebra. In fact under the conditions of the theorem one can show ρ is bijective [7]. This theorem has a few beautiful applications:

Corollary 0.2. *Let A be as above and $X = \text{Spec}(A)$ integral (i.e., A a domain with quotient field F). Then the Gersten resolution for Milnor K –theory is exact*

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at the generic point:

$$K_n^M(A) \xrightarrow{i_*} K_n^M(F) \xrightarrow{T} \bigoplus_{x \in X^{(1)}} K_{n-1}^M(k(x)) \rightarrow \dots,$$

i.e., $\ker(T) = \text{im}(i_*)$, where T is the tame symbol.

The exactness of this complex is well known in codimensions $p \geq 1$ by the work of Gabber and Rost [10] and follows in degree zero with the same proof as in [3] by comparing with the corresponding sequence for higher Chow groups [2]. Note that the work of Kerz [7] implies also the Gersten conjecture, i.e. the injectivity of i_* for such k -algebras A . There is another nice application to étale cohomology:

Corollary 0.3. *Assume the Bloch–Kato conjecture [13]. Let A be a (semi-)local ring containing an infinite field and $l > 0$ prime to $\text{char}(A)$. Then the graded ring $H_{\text{et}}^*(A, \mu_l^{\otimes *})$ is generated by elements of degree one.*

Proof. First assume that A is essentially smooth over an infinite field. The Bloch–Kato conjecture implies that we have an isomorphism

$$CH^n(A, n)/l \xrightarrow{\cong} H_{\text{et}}^n(A, \mu_l^{\otimes n})$$

for any l prime to $\text{char}(A)$. Composing with ρ we get a surjective ring homomorphism $K_n^N(A)/l \rightarrow H_{\text{et}}^n(A, \mu_l^{\otimes n})$ which shows the corollary in this case, because Milnor K -theory is generated in degree one.

Let A be arbitrary. By a direct limit argument we can assume A to be a localization of an affine algebra. Now Hoobler’s trick [5] can be applied: There is a Henselian pair (A', I) with A' essentially smooth and $A = A'/I$. In this situation $H_{\text{et}}^n(A, \mu_l^{\otimes n})$ and $H_{\text{et}}^n(A', \mu_l^{\otimes n})$ are isomorphic [5]. The commutative diagram

$$\begin{array}{ccc} K_n^M(A')/l & \xrightarrow{\text{nat}} & K_n^M(A)/l \\ \rho \downarrow & & \rho \downarrow \\ H_{\text{et}}^n(A', \mu_l^{\otimes n}) & \xrightarrow{\text{nat}} & H_{\text{et}}^n(A, \mu_l^{\otimes n}) \end{array}$$

implies immediately that $\rho : K_n^N(A)/l \rightarrow H_{\text{et}}^n(A, \mu_l^{\otimes n})$ is surjective. \square

Corollary 0.4 (Bloch). *Again assuming the Bloch–Kato conjecture, let X/\mathbb{C} be a variety and $\xi \in H^i(X, \mathbb{Z})$ an element of prime exponent l . Fix some points $x_1, \dots, x_n \in X$. Then there exists an effective divisor $D \subset X$ such that ξ restricted to $X - D$ vanishes and $x_j \notin D$ for all $j = 1, \dots, n$.*

Proof. This is essentially the same argument as in the proof of Corollary 7.7 of [12]. \square

1. THE MILNOR–CHOW MAP ρ

1.1. Higher Chow groups. S. Bloch [2] defined *higher Chow groups* as a candidate for motivic cohomology, i.e. an algebraic singular (co)homology. They form

a Borel–Moore homology theory for schemes over a field k , which we fix from now on. In order to define them we use the algebraic n -cube

$$\square^n = (\mathbb{P}_k^1 \setminus \{1\})^n.$$

The n -cube has 2^n codimension one faces, defined by $x_i = 0$ and $x_i = \infty$ for $1 \leq i \leq n$. An integral subvariety $W \subseteq \square^n$ of codimension p is called admissible if its intersection with all faces is again of codimension p or empty. For each face $F = \{x_i = 0\}$ or $F = \{x_i = \infty\}$ we have a pull-back map ∂_i^0 resp. ∂_i^∞ which sends a subvariety $W \subseteq \square^n$ to the intersection product of cycles $W \cdot F$ with appropriate multiplicities in the sense of Serre’s Tor-formula. A total differential is given by

$$\partial = \sum_{i=1}^n (-1)^{i-1} (\partial_i^0 - \partial_i^\infty).$$

Let X be a quasi-projective variety over k (standard techniques allow to extend this definition to equidimensional schemes over k and even, but much harder, to schemes over Dedekind rings). The notion of faces, restriction maps, and differentials extends to $\square_X^n = X \times_k \square^n$. $Z_c^p(X, n)$ is defined to be the quotient of the group of admissible cycles of codimension p in $X \times \square^n$ by the group of degenerate cycles as defined in [11], p.180 (where they are denoted by $d^p(X, n)$). Let $CH^p(X, n)$ be the n -th homology of the complex $Z_c^p(X, \cdot)$ with differential ∂ .

1.2. Milnor K -theory. Milnor K -theory of a ring A is defined as the quotient

$$T(A)/S(A)$$

of the free graded tensor algebra $T(A) = \mathbb{Z} \oplus A^\times \oplus A^\times \otimes A^\times \oplus \cdots$ over the units A^\times of A by the ideal $S(A)$ generated by the degree two relations of the form $(f, 1 - f)$ for all f with $f, 1 - f \in A^\times$ and $(f, -f)$ for all $f \in A^\times$. Note that in the case of fields or (semi-)local rings with large residue fields the relation $(f, -f)$ follows from the usual Steinberg relation $(f, 1 - f)$.

1.3. The map $K_n^M(A) \rightarrow CH^n(A, n)$. Now we consider the special case where A is a localization of an affine k -algebra with k an arbitrary ground field. Denote by $CH^p(A, n)$ the higher Chow groups of $\text{Spec}(A)$. In particular we have the series of abelian groups $CH^n(A, n)$. To any tuple $f = (f_1, \dots, f_n)$ of elements $f_i \in A^\times$ we can associate a map

$$f = (f_1, \dots, f_n) : \text{Spec}(A) \rightarrow (\mathbb{P}^1)^n$$

and hence by restricting to the cube a graph cycle

$$\Gamma_f = \text{graph}(f_1, \dots, f_n) \cap \square_A^n.$$

Since such graph cycles have no boundary, we immediately get a map

$$\rho : (A^\times)^n \rightarrow CH^n(A, n).$$

One can show that ρ preserves bilinearity, is skew-commutative, and obeys the Steinberg relations $\rho(f, 1 - f, f_3, \dots, f_n) = 0$ and $\rho(f, -f, f_3, \dots, f_n) = 0$ [3]. Therefore it descends to a well-defined homomorphism

$$\rho : K_n^M(A) \rightarrow CH^n(A, n)$$

for all $n \geq 0$. If A is essentially smooth $CH^*(A, *)$ has a ring structure and ρ becomes a ring homomorphism. In the special case where A is a field F the following result is classical.

Theorem 1.1 (Nesterenko/Suslin, Totaro). *ρ is an isomorphism for every field F .*

Proof. Totaro's proof [11] uses cubical higher Chow groups as defined above. He shows that any cycle $Z \in CH^n(F, n)$ is equivalent (cobordant) to a norm-cycle which has all coordinate entries in F . This already gives the surjectivity of ρ . The inverse map ρ^{-1} is defined using the norm as follows: By linearity it is sufficient to define ρ^{-1} for Z irreducible. In this case we choose a minimal finite field extension L/F such that Z corresponds to an L -valued point (z_1, \dots, z_n) . Then $\rho^{-1}(Z) = N_{L/F}(\{z_1, \dots, z_n\})$ as an element of $K_n^M(F)$, where $N_{L/F}$ is the norm map of Bass and Tate [1]. \square

2. SYMBOLS IN GENERAL POSITION

The main result of this section is Proposition 2.8 which in some sense represents the idea that for good extensions of (semi-)local rings there should be norms of Milnor K -groups as in the field case. In fact such norms can be constructed by an extension of the methods described below [7].

2.1. The group $K_n^t(A)$. Let A be a (semi-)local UFD and $F = Q(A)$ its quotient field. The group $K_n^t(A)$, we are going to define, should be thought of as the proper Milnor K -group of the ring $A[t]_S$, where S denotes the multiplicative system of all monic polynomials.

Definition 2.1. *An n -tuple of rational functions*

$$\left(\frac{p_1}{q_1}, \frac{p_2}{q_2}, \dots, \frac{p_n}{q_n} \right) \in F(t)^n$$

with $p_i, q_i \in A[t]$ for $i = 1, \dots, n$ is called feasible if

- (1) *The highest nonvanishing coefficients of p_i, q_i are invertible in A for $i = 1, \dots, n$.*
- (2) *For every irreducible factor u of p_i or q_i and v of p_j or q_j ($i, j = 1, \dots, n$, $i \neq j$) u is either equivalent or coprime to v .*

Before stating the definition of $K_n^t(A)$ we have to replace ordinary tensor product.

Definition 2.2. *Define*

$$\mathcal{T}_n^t(A) = \mathbb{Z} \langle \{(p_1, \dots, p_n) \mid (p_1, \dots, p_n) \text{ feasible, } p_i \in A[t] \text{ irreducible or unit}\} \rangle / L$$

Here L denotes the subgroup generated by elements

$$(p_1, \dots, ap_i, \dots, p_n) - (p_1, \dots, a, \dots, p_n) - (p_1, \dots, p_i, \dots, p_n)$$

with $a \in A^\times$.

By bilinear factorization the element

$$(p_1, \dots, p_n) \in \mathcal{T}_n^t(A)$$

is defined for every feasible n -tuple with $p_i \in F(t)$.

Now define the subgroup $St \subset \mathcal{T}_n^t(A)$ to be generated by feasible n -tuples

$$(1) \quad (p_1, \dots, p, 1-p, \dots, p_n)$$

and

$$(2) \quad (p_1, \dots, p, -p, \dots, p_n)$$

with $p_i, p \in F(t)$.

Definition 2.3. *Define*

$$K_n^t(A) = \mathcal{T}_n^t(A)/St$$

We denote the image of (p_1, \dots, p_n) in $K_n^t(A)$ by $\{p_1, \dots, p_n\}$.

2.2. The tame symbol. Recall that Milnor constructed so called tame symbols

$$\partial_\pi : K_n^M(F(t)) \longrightarrow K_{n-1}^M(F[t]/(\pi))$$

for every irreducible $\pi \in F[t]$ [9] – in fact this construction works for all discrete valuation rings in contrast to our generalization below.

Proposition 2.4 (Tame symbol). *For every irreducible, monic polynomial $\pi \in A[t]$ and $n > 0$ one has a unique well defined tame symbol*

$$\partial_\pi : K_n^t(A) \longrightarrow K_{n-1}^M(A[t]/(\pi))$$

which satisfies

$$(3) \quad \partial_\pi : \{\pi, x_2, \dots, x_n\} \mapsto \{\bar{x}_2, \dots, \bar{x}_n\}$$

for $x_i \in A[t]$ and x_i coprime to π .

For $\pi = 1/t$ there is a similar tame symbol

$$\partial_\pi : K_n^t(A) \longrightarrow K_{n-1}^M(A)$$

which satisfies (3) for $x_i \in A[1/t]$.

Proof. Assume $\pi \in A[t]$. Uniqueness is easy to check. In order to show existence, introduce according to an idea of Serre a formal skew-commutative element ξ with $\xi^2 = \xi\{-1\}$ and $\deg(\xi) = 1$. Define a formal map (which is clearly not well defined)

$$\theta_\pi : \mathcal{T}_*(A) \longrightarrow K_*^M(A[t]/(\pi))[\xi]$$

by

$$\theta_\pi(u_1\pi^{i_1}, \dots, u_n\pi^{i_n}) = (i_1\xi + \{\bar{u}_1\}) \cdots (i_n\xi + \{\bar{u}_n\}) .$$

We define ∂_π by taking the (right-)coefficient of ξ . This is a well defined homomorphism. So what remains to be shown is that ∂_π factors over the Steinberg relations.

Let $x = (\pi^i u, -\pi^i u)$ be feasible, then

$$\begin{aligned} \theta_\pi(x) &= (i\xi + \{\bar{u}\})(i\xi + \{-\bar{u}\}) \\ &= i\xi\{-1\} - i\xi\{\bar{u}\} + i\xi\{-\bar{u}\} + \{\bar{u}, -\bar{u}\} = 0 . \end{aligned}$$

For $i > 0$ and $x = (\pi^i u, 1 - \pi^i u)$ feasible one has

$$\theta_\pi(x) = (i\xi + \{\bar{u}\})\{1\} = 0.$$

For $i < 0$ and $x = (\pi^i u, 1 - \pi^i u)$ feasible one has

$$\begin{aligned} \theta_\pi(x) &= (i\xi + \{\bar{u}\})(i\xi + \{-\bar{u}\}) \\ &= i\xi\{-1\} + i\xi\{-\bar{u}\} - i\xi\{\bar{u}\} + \{\bar{u}, -\bar{u}\} = 0. \end{aligned}$$

□

The tame symbols from Proposition 2.4 are compatible with the corresponding symbols of the quotient field of A . This is the content of the next lemma.

Lemma 2.5. *Fix either an irreducible, monic $\pi \in A[t]$ as above and let $B = A[t]/(\pi)$ and $L = Q(B)$ or set $\pi = 1/t$, $B = A$ and $L = F$. The square*

$$\begin{array}{ccc} K_n^t(A) & \xrightarrow{\partial_\pi} & K_{n-1}^M(B) \\ \downarrow & & \downarrow \\ K_n^M(F(t)) & \xrightarrow{\partial_\pi} & K_{n-1}^M(L) \end{array}$$

is commutative.

Moreover for monic $\pi \in F[t]$ but $\pi \notin A[t]$ the composition

$$K_n^t(A) \longrightarrow K_n^M(F(t)) \xrightarrow{\partial_\pi} K_{n-1}^M(F[t]/(\pi))$$

vanishes.

Proposition 2.6. *If the residue fields of A are infinite the map*

$$\oplus_\pi \partial_\pi : K_n^t(A) \longrightarrow \oplus_\pi K_{n-1}^M(A[t]/(\pi))$$

is surjective, where the sum is over all monic, irreducible $\pi \in A[t]$.

In fact the kernel of $\oplus_\pi \partial_\pi$ is precisely $K_n^M(A)$, but this is more difficult to show [7].

Proof. Consider the filtration $L_d \subset K_n^t(A)$, where L_d is generated by the feasible (x_1, \dots, x_n) with $x_i \in A[t]$ of degree at most d . One has to show

$$\oplus_{\deg(\pi)=d} \partial_\pi : L_d \longrightarrow \oplus_{\deg(\pi)=d} K_{n-1}^M(A[t]/(\pi))$$

is surjective. Fix π of degree d . For a symbol $\xi = \{\bar{x}_2, \dots, \bar{x}_n\} \in K_{n-1}^M(A[t]/(\pi))$ we can according to the following sublemma suppose without restriction that $\zeta = \{\pi, x_2, \dots, x_n\} \in K_n^t(A)$ is well defined assuming x_i to be chosen of degree $d-1$. As we have $\partial_{\pi'}(\zeta) = 0$ for $\pi' \neq \pi$, $\deg(\pi') = d$, and $\partial_\pi(\zeta) = \xi$, this proves the proposition.

Sublemma 2.7 (Gabber). *Given monic $y_1, \dots, y_k \in A[t]$ and an arbitrary $x \in A[t]$ coprime to π there exists a factorization*

$$x \equiv x'x'' \pmod{(\pi)}$$

such that $x', x'' \in A[t]$ have invertible highest coefficients, $\deg(x') = \deg(x'') = d-1$ and x', x'' are coprime to y_j for $j = 1, \dots, k$.

Proof. Using the Chinese remainder theorem and reduction modulo all maximal ideals we can assume that A is an infinite field. The moduli space of factorizations $x \equiv x'x'' \pmod{(\pi)}$ is a nonempty Zariski open subset of \mathbb{A}_A^d . As finite intersections of such subsets contain a rational point, the sublemma is proven. \square

2.3. Norms. With the notation as above ($B = A[t]/(\pi)$, $F = Q(A)$, $L = Q(B)$) let $i : A \rightarrow F$ and $j : B \rightarrow L$ be the natural embeddings. For the convenience of the reader we recall the construction of norms

$$N_{L/F} : K_n^M(L) \rightarrow K_n^M(F)$$

from [1].

Given $\xi \in K_n^M(L)$ choose $\zeta \in K_{n+1}^M(F(t))$ such that $\partial_{\pi'}(\zeta) = 0$ for $\pi' \neq \pi$ and $\partial_\pi(\zeta) = \xi$. Set $N_{L/F}(\xi) = -\partial_{1/t}(\zeta)$. Kato showed this norm depends only on the isomorphism class of (L, ξ) over F and is functorial [6].

Proposition 2.8. *We have*

$$N_{L/F}(\text{im}(j_*)) \subset \text{im}(i_*)$$

with i_*, j_* the homomorphisms induced on Milnor K -groups.

Proof. Given $\xi \in K_n^M(B)$ choose by Lemma 2.6 $\zeta \in K_{n+1}^t(A)$ such that $\partial_{\pi'}(\zeta) = 0$ for $\pi \neq \pi' \in A[t]$ and $\partial_\pi(\zeta) = \xi$. Set $\xi' = -\partial_{1/t}(\zeta) \in K_n^M(A)$. It follows from Lemma 2.5 that

$$N_{L/F}(j_*(\xi)) = i_*(\xi').$$

\square

3. PROOF OF THEOREM 0.1

Assume that $[Z] \in CH^n(A, n)$ is a higher Chow cycle. We want to construct an element $\xi \in K_n^M(A)$ such that $\rho(\xi) = [Z]$.

Lemma 3.1. *Z is cobordant to a sum of irreducible cycles Z' such that*

- (1) $Z' \subset \square_A^n$ does not intersect any face.
- (2) With the coordinate functions $t_1, \dots, t_n \in \mathcal{O}_{Z'}$ one has $A[t_1, \dots, t_i]$ essentially smooth over k and finite over A for every $1 \leq i \leq n$.
- (3) $\mathcal{O}_{Z'} = A[t_1, \dots, t_n]$.

Proof. This follows immediately from the “easy moving lemma” of Bloch and Levine [8, chap. II, 3.5] and is also applied and explained in [3]. \square

Without loss of generality we may therefore assume that Z is irreducible and already in good position as in the lemma. Look at the following diagram:

$$\begin{array}{ccc} K_n^M(A) & \xrightarrow{i_*} & K_n^M(F) \\ \rho \downarrow & & \rho \downarrow \\ CH^n(A, n) & \xrightarrow{i_*} & CH^n(F, n) \end{array}$$

where – by abuse of notation – we use the same symbols ρ and i_* for the corresponding maps of rings or fields and F is the quotient field of A . Since ρ is an isomorphism on the level of fields, we know that there is an element $\tau \in K_n^M(F)$ such that $\rho(\tau) = i_*[Z]$. By the description of ρ^{-1} in Totaro’s proof of Theorem 1.1, we know that one has $\tau = N_{L/F}(\{t_1, \dots, t_n\})$ where L is the quotient field of \mathcal{O}_Z and $N_{L/F}$ is the norm on Milnor K -theory of fields. Now look at the consecutive extensions

$$A \subset A[t_1] \subset A[t_1, t_2] \subset \dots \subset A[t_1, \dots, t_i] \subset \dots$$

These rings are all essentially smooth and hence factorial. Each extension is of the type

$$A[t_1, \dots, t_{i+1}] = A[t_1, \dots, t_i][t]/(\pi_{i+1}).$$

Therefore we may apply Proposition 2.8 and conclude that there is an element ξ with $i_*(\xi) = \tau$. But the map $i_* : CH^n(A, n) \rightarrow CH^n(F, n)$ is injective by [2] and therefore we have $\rho(\xi) = [Z]$, since $i_*(\rho(\xi)) = i_*[Z]$. \square

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